

CONSULTATION PAPER

on the proposal for Implementing Technical Standards on procedures and a minimum set of standard forms and templates for the provision of information referred to in Article 12(1) of the Directive (EU) 2025/1

EIOPA-BOS-25/286
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eiopa

European Insurance and
Occupational Pensions Authority

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RESPONDING TO THIS PAPER

EIOPA welcomes comments on the Consultation Paper on the proposal for the Implementing Technical Standard on procedures and a minimum set of standard forms and templates for the provision of the information referred to in Article 12(1) of the Directive (EU) 2025/1.

Comments are most helpful if they:

- ▶ specifically indicate the part they are referring to, in particular when concerning the templates;
- ▶ respond to the question stated, where applicable;
- ▶ contain a clear rationale; and
- ▶ describe any alternatives EIOPA should consider.

Please send your comments to EIOPA via EU Survey ([link](#)) by 31 October, 23:59 CET.

Contributions not provided via EU Survey or after the deadline will not be processed. In case you have any questions please contact IRRD_PC@eiopa.europa.eu

Publication of responses

Your responses will be published on the EIOPA website unless: you request to treat them confidential, or they are unlawful, or they would infringe the rights of any third-party. Please, indicate clearly and prominently in your submission any part you do not wish to be publicly disclosed. EIOPA may also publish a summary of the survey input received on its website.

Please note that EIOPA is subject to Regulation (EC) No 1049/2001 regarding public access to documents and EIOPA's rules on public access to documents.¹

Declaration by the contributor

By sending your contribution to EIOPA you consent to publication of all non-confidential information in your contribution, in whole/in part – as indicated in your responses, including to the publication of the name of your organisation, and you thereby declare that nothing within your response is unlawful or would infringe the rights of any third party in a manner that would prevent the publication.

Data protection

Please note that personal contact details (such as name of individuals, email addresses and phone numbers) will not be published. EIOPA, as a European Authority, will process any personal data in line with Regulation (EU) 2018/1725. More information on how personal data is treated can be found in the privacy statement at the end of this material.

¹ [Public Access to Documents.](#)

CONSULTATION PAPER OVERVIEW & NEXT STEPS

EIOPA carries out consultations with regard to its draft technical standards in accordance with Articles 10 and 15 of Regulation (EU) No 1094/2010.

This Consultation Paper presents the draft technical standards.

The analysis of the expected impact from the proposed policy is covered under Annex I (Impact Assessment).

Next steps

EIOPA will revise the proposal in view of the stakeholder comments received. EIOPA will publish a report on the consultation including the revised proposal and the resolution of stakeholder comments.

1. BACKGROUND AND ANALYSIS

The global financial crisis of 2008 highlighted the need to develop an appropriate recovery and resolution framework for insurance and reinsurance undertakings.

Based on Article 12(1) of Directive (EU) 2025/1, insurance and reinsurance undertakings or the ultimate parent undertaking (collectively “insurers”) have to provide the resolution authorities with all information necessary to draw up and implement (group) resolution plans. Furthermore, according to Article 12(3), EIOPA shall develop draft implementing technical standards (ITS) to specify procedures, and a minimum set of standard forms and templates for the provision of information under this Article, and to specify the content of such information.

The draft ITS is implementing this mandate considering the procedures for the regular Solvency II reporting and the experience of some National Competent Authorities in resolution reporting for insurers. It also leverages on the experience gathered from the banking sector in the past years reflecting on the existing differences in the business models and in the legal requirements.

This draft ITS aims to strike a balance between the needs of the resolution authorities and the burden for insurers, considering that while some information might not be relevant for all of them, a very restrictive set of information would increase ad-hoc data requests in a format that is not harmonised.

As an example for this balance, it should be noted that templates LIAB 1 and LIAB 2 rely on SII “going concern” valuation. As such, they are not aimed at being an immediate basis for applying WDCT, which takes place in a “gone concern” situation. Requesting these figures would imply the need to adjust the Solvency valuation, in particular regarding the technical provisions, which would strongly increase the reporting burden. The approach proposed is that more precise information for the WDCT is requested ad-hoc in case of an incoming resolution, as also envisaged in IRRD. Notwithstanding these limitations, LIAB1 and LIAB2 provide an indication of the SII value of those liabilities excluded and those not excluded from the WDCT, using the categories mentioned in Article 35 IRRD, which allows for a regular, indicative planning of resolution scenarios.

Similarly, some of the information only needs to be reported in case this is applicable, e.g. derivative transactions or issued securities only if insurers have actually entered into these kind of transactions.

The draft ITS specifies the information (standards and templates) that resolution authorities need for drawing up resolution plans, however, it does not cover the data collection to determine which entities should be within scope of resolution planning. Resolution authorities are ultimately responsible for determining the scope of the resolution planning.

2. DRAFT TECHNICAL STANDARD



EUROPEAN COMMISSION

Brussels, XXX

[\[...\]](#) (2025) XXX draft

COMMISSION IMPLEMENTING REGULATION (EU) .../..

of []

COMMISSION IMPLEMENTING REGULATION (EU) .../...

of []

laying down implementing technical standards for the application of Directive (EU) 2025/1 of the European Parliament and of the Council with regard to procedures, a minimum set of standard forms and templates for the provision of information and the content of such information

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive (EU) 2025/1 of the European Parliament and of the Council of 27 November 2024 establishing a framework for the recovery and resolution of insurance and reinsurance undertakings and amending Directives 2002/47/EC, 2004/25/EC, 2007/36/EC, 2014/59/EU and (EU) 2017/1132 and Regulations (EU) No 1094/2010, (EU) No 648/2012, (EU) No 806/2014 and (EU) 2017/1129², and in particular Article 12(3), third subparagraph, thereof,

Whereas:

- (1) The procedures and a minimum set of standard forms and templates to request the necessary information from insurance and reinsurance undertakings or the ultimate parent undertaking are designed in a way to enable the resolution authorities to collect such information in a consistent manner across the Union and to facilitate the exchange of information among the relevant authorities. This does not prevent the resolution authorities from collecting any additional information they deem necessary to draw up and implement resolution plans or to determine whether simplified obligations can apply.
- (2) With the objective of reducing reporting burden, the frequency of the request of information is set at two years at the minimum, while ad-hoc information is only requested if considered necessary.
- (3) Validation rules and data point definitions shall comply with applicable regulatory, analytical and information technology requirements at all times. This Regulation is based on the draft implementing technical standards submitted to the Commission by the European Insurance and Occupational Pensions Authority.
- (4) The European Insurance and Occupational Pensions Authority has conducted open public consultations on the draft implementing technical standards on which this Regulation is based, analysed the potential related costs and benefits and requested the advice of the the Insurance and Reinsurance Stakeholder Group established by Article 37 of Regulation (EU) No 1094/2010,

HAS ADOPTED THIS REGULATION:

Article 1 – Resolution reporting templates

1. Insurance and reinsurance undertakings and, in the case of groups, the ultimate parent undertaking shall submit to the resolution authority, or to the group-level resolution authority, respectively, the information specified in the templates set out in Annex I following the instructions set out in Annex II.

2. Where a resolution authority or, in the case of groups, a group-level resolution authority determines that simplified obligations in accordance with Article 4 of Directive (EU) 2025/1 apply for certain

² OJ L, 2025/1, 8.1.2025.

insurance and reinsurance undertakings or groups, it shall identify which information is not required to be included in the submission of information by reference to the templates set out in Annex I.

Article 2 – Content of information

1. Insurance and reinsurance undertakings that are not part of a group shall submit the information referred to in Article 1(1), with the exception of the information referred to in templates Z 03.01, Z 06.04 and Z 06.05 of Annex I.

2. In the case of groups, the ultimate parent undertaking shall submit the information referred to in Article 1(1) in accordance with the following specifications:

- (a) the information specified in template Z 01.01 and Z 01.02 of Annex I;
- (b) the information specified in template Z 01.03 and Z 01.04 of Annex I in relation to the following:
 - (i) group entities included in its consolidated financial statements which fall in the scope of Article 1 of the Directive (EU) 2025/1;
 - (ii) group entities which provide relevant services as defined in Delegated Regulation XXX [i.e. RTS on the content of the resolution plan - instrument 5].
- (c) the information specified in templates Z 02.01 and Z 02.02 of Annex I:
 - (i) at the level of the ultimate parent undertaking on a consolidated basis;
 - (ii) at the level of the ultimate parent undertaking and at the level of each group entity on an individual basis;
- (d) the information specified in template Z 03.01 of Annex I in relation to the financial interconnections between all group entities;
- (e) the information specified in templates Z 04.01, Z 04.02 and Z 05.01 of Annex I at the level of the ultimate parent undertaking and at the level of each group entity on an individual basis;
- (f) the information specified in template Z 06.01, Z 06.02 and Z 06.03 of Annex I at the level of the ultimate parent undertaking and at the level of each group entity on an individual basis, separately for each Member State in which the group operates;
- (g) the information specified in templates Z 06.04 and Z 06.05 of Annex I in relation to the critical functions and core business lines, at the level of the ultimate parent undertaking on a consolidated basis;
- (h) the information specified in templates Z 06.06 of Annex I in relation to the critical functions and core business lines:
 - (i) at the level of the ultimate parent undertaking on a consolidated basis;
 - (ii) at the level of the ultimate parent undertaking and at the level of each group entity on an individual basis;

(i) the information specified in template Z 07.01, Z 07.02 and Z 07.03 of Annex I, in relation to all relevant services, as defined in Delegated Regulation XXX [i.e. RTS on the content of the resolution plan - instrument 5], including all reinsurance services, provided to any group entity included in Article 1(1) of Directive (EU) 2025/1;

(j) the information specified in template Z 08.01 and Z 08.02 of Annex I in relation to all financial market infrastructures, the disruption of which would present a serious impediment or prevent the performance of any critical function identified in templates Z 06.01, Z 06.02 and Z 06.03.

3. To the extent required by the group-level resolution authority, the ultimate parent undertaking shall submit the consolidated information referred to in Article 2(2) at the level of a group entity, which is a parent undertaking other than the ultimate parent undertaking, in accordance with Articles 11, 12 and 70 of Directive (EU) 2025/1.

Article 3 - Frequency and reference dates

1. Insurance and reinsurance undertakings or ultimate parent undertakings shall submit the information referred to in Article 2(1) at least every two years, no later than 16 weeks for individual undertakings and 22 weeks for groups after the end of the financial year.

2. By way of derogation from paragraph 1, for the first reporting with the financial year end between 30 January 2027 and 31 December 2027, insurance and reinsurance undertakings or ultimate parent undertakings shall submit the information referred to in Article 2(1) no later than 20 weeks for individual undertakings and 26 weeks for groups after the end of the financial year.

3. Where the resolution authorities deem it necessary, or in the case of material changes referred to in Article 11(3) of Directive (EU) 2025/1, insurance and reinsurance undertakings or the ultimate parent undertaking shall report the information specified in Article 2(1) on a higher frequency.

4. Resolution authorities shall provide the insurance and reinsurance undertakings or ultimate parent undertakings with the necessary contact details to submit the information.

Article 4 – Data quality and re-submission of information

1. Insurance and reinsurance undertakings or, in the case of groups, the ultimate parent undertaking shall be responsible for the quality of the information reported.

2. Insurance and reinsurance undertakings or, in the case of groups, the ultimate parent undertaking shall re-submit as soon as practicable the information reported using the templates referred to in this Regulation where:

(a) the information originally reported has materially changed in relation to the same reporting period after the last submission of that information to the resolution authority or to the group-level resolution authority; or

(b) the resolution authority or the group-level resolution authority have requested it due to material data quality issues.

Article 5 – Resolution reporting formats

1. Insurance and reinsurance undertakings or, in the case of groups, the ultimate parent undertaking, shall submit the information referred to in Article 2(1) in the data exchange formats and representations specified by the resolution authority or, in the case of groups, by the group-level resolution authority with the following specifications:

- (a) the information not required or not applicable shall not be included in a data submission;
- (b) numeric values shall be submitted as facts according to the following:
 - (i) data points with the data type ‘monetary’ shall be expressed in thousands of units with no decimals, unless otherwise provided in Annex II;
 - (ii) data points with the data type ‘percentage’ shall be expressed as per unit with four decimals;
 - (iii) data points with the data type ‘integer’ shall be expressed in units with no decimals;
 - (iv) all data points shall be expressed as positive values except in the following cases:
 - a. the data points are of an opposite nature from the natural amount of the item;
 - b. the nature of the data point allows for positive and negative values to be reported;
 - c. in a different reporting format if required by the instructions set out in Annex II.

Article 6 - Provision of additional information

1. Where a resolution authority or a group-level resolution authority considers information not covered by any template set out in Annex I to be necessary for the purposes of drawing up and implementing resolution plans, or where the format in which additional information is provided by the supervisory authority is not suitable for the purposes of drawing up or implementing resolution plans, the resolution authority or the group-level resolution authority shall request such information from the insurance or reinsurance undertaking or the ultimate parent undertaking concerned, as applicable.

2. The resolution authority or the group-level resolution authority shall specify:

- (a) the additional information to be provided;
- (b) the reasons underlying the additional information request;
- (c) taking into account the volume and complexity of the required information, the appropriate timeframe within which the insurance or reinsurance undertaking or, in the case of groups, the ultimate parent undertaking, shall provide the information to the resolution authority;
- (d) the format to be used by the insurance or reinsurance undertaking or, in the case of groups, the ultimate parent undertaking to provide the information to the resolution authority;
- (e) whether the information has to be completed on an individual or group level basis;
- (f) the necessary contact details for the purposes of providing the additional information.

Article 7 - Cooperation between supervisory authorities and resolution authorities

1. Where the resolution authority or the group-level resolution authority identifies that additional information not covered by any template set out in Annex I is needed, it shall verify jointly with the supervisory authority whether it is already available to that authority on the basis of Implementing Regulation (EU) 2023/894.
2. Where part or all of the information is already available to the supervisory authority, that authority shall provide such information to the resolution authority or to the group-level resolution authority directly and in a timely manner.

Article 8 - Final provision

1. This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.
2. It shall apply from 30 January 2027.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, []

[For the Commission

The President]

[On behalf of the President]

[Position]

ANNEX I: IMPACT ASSESSMENT

OBJECTIVES

In accordance with Article 29 of the EIOPA Regulation, EIOPA carries out, where relevant, analysis of costs and benefits during the policy development process. The analysis of costs and benefits is undertaken according to an impact assessment methodology.

The starting point for this impact assessment is that existing provisions following from the level 1 text are already in place and that the other provisions included in this consultation paper will be implemented as proposed. As a result, this assessment only considers the additional impact of each specific policy issue under discussion.

This impact assessment covers the assessment of the impact of the reporting frequency (policy issue A) and reporting on liabilities (policy issue B). The impact assessment is based on a qualitative assessment performed by EIOPA.

In drafting these technical standards, EIOPA sticks to general objectives of the IRRD, as agreed by the legislators.

These general objectives are to enable the authorities to:

- Enhance preparation, coordination and cooperation;
- Meet the resolution objectives; and
- Ensure a proper functioning of the internal market and ensuring level-playing field.

In view of the specific purpose of these Technical Standards, the following more specific objectives were identified:

- Burden for (re)insurance undertakings representing lower risk;
- Effective and efficient policyholder protection with a sufficient level of flexibility for resolution authorities to consider the specificities of national markets; and
- Level playing field through common minimum harmonization rules that promote a convergent approach.

POLICY ISSUES

POLICY ISSUE A: REPORTING FREQUENCY

This policy issue concerns the frequency of the submission of a minimum set of standardized information necessary to draw up and implement resolution plans or group resolution plans with the aim to strike the right balance between the need to increase preparedness, a closer alignment with the Directive (EU) 2015/1 and the reporting burden.

POLICY OPTIONS

POLICY ISSUE A: REPORTING FREQUENCY

Policy option A.1: Annual submission

This option requires information to be submitted yearly just like the regular supervisory reporting. The aim is to increase awareness and preparedness of Resolution Authorities and (re) insurance undertakings to react in times of crisis and ensure a consistent approach, on improving data quality, ensuring insurers maintain an efficient management information system (MIS), and facilitate a continuous dialogue with the insurers.

Policy option A.2: Submission at least every two years, in alignment with the update of the resolution plan

This option introduces a data collection at least every two years, in line with the minimum frequency needed for updating the (group) resolution plan as set out in Articles 9(5) and 11(3) of the Directive (EU) 2015/1³. The primary objective of this approach is to ensure a reduction of the reporting burden to the (re)insurance undertakings, while ensuring close alignment with the insurance recovery and resolution directive. The information reported will be required only with respect to the previous financial year. In any case insurer will have to report in case of material changes as specified in Article 11(3) of the Directive (EU) 2025/1.

IMPACT OF THE POLICY OPTIONS

POLICY ISSUE A: REPORTING FREQUENCY

Policy option A.1: Annual submission		
Costs	Policyholders	No impact
	Industry	Costly and burdensome for insurance and reinsurance undertakings that have to report.
	Resolution authorities	Additional administrative costs for reviewing the reporting
	Other	Might not be seen as proportional (although simplified obligations could allow for reduced reporting obligations)
Benefits	Policyholders	Better crisis preparedness by insurers and resolution authorities
	Industry	Better preparation for the reporting

³ Authorities could deviate in individual cases if they consider this to be appropriate

	Resolution authorities	Better preparedness for crisis situation Faster increase of data quality
	Other	Higher level of synchronization between reporting frequencies of resolution authorities for resolution plan updates (especially in cross-border groups or in financial conglomerates). Ensures synchronisation between reporting frequencies of supervisory and resolution reporting for a comprehensive and aligned data set. Alignment with the SII regular reporting

Policy option A.2: Submission every two years		
Costs	Policyholders	No impact
	Industry	Slower process to improve the the reporting data quality Potential for higher number of requests for resubmission due to lower data quality
	Resolution authorities	Slower processes to improve data quality and potential for a higher number of resubmission requests Slower process for preparedness to crisis situation
	Other	Potential divergent approach across EU jurisdictions, where the resolution authority requires the insurer to provide information outside the regular reporting.
Benefits	Policyholders	No impact
	Industry	Less burdensome for insurance and reinsurance undertakings that have to report.
	Resolution authorities	Possible deviation from the data submission to adjust the reporting to the need of resolution planning
	Other	In line with the minimum frequency needed for updating the (group) resolution Lower administrative costs for reviewing the reporting Allows to apply proportionality and flexibility depending on type and nature of insurer (therefore either annual or every other years)

COMPARISON OF POLICY OPTIONS

POLICY ISSUE A: ANNUAL REPORTING

EFFECTIVENESS			
	Level playing field through common minimum harmonization rules	Effective and efficient policyholder protection with flexibility for the resolution authorities and potential for the consideration of national specificities	Limiting the burden for (re)insurance undertakings
Policy option A.1	+	0	0
Policy option A.2	0	+	++

EFFICIENCY			
	Level playing field through common minimum harmonization rules	Effective and efficient policyholder protection with flexibility for the resolution authorities and potential for the consideration of national specificities	Limiting the burden for (re)insurance undertakings
Policy option A.1	+	0	0
Policy option A.2	0	+	++

PREFERRED OPTION

Based on the impact assessment, it was decided to introduce the reporting at least every two years in the text of the ITS (i.e. policy option A.2) in close alignment with the frequency for the update of the resolution plan pursuant to the Directive (EU) 2015/1. While both options ensure to familiarize with the new data request, albeit with a different speed, and give time for quality checks and dialogues between the resolution authority and the reporting undertakings, it was assessed that the reporting every two years, as a starting point, meets the European Commission's objective to reduce the reporting burden on the insurance and reinsurance undertaking subject to reporting.

POLICY ISSUE B: REPORTING ON GRANULAR LIABILITIES

This policy issue is about the introduction of reporting on granular liabilities to complement the reporting on aggregate liability which already included a set of information to operationalize the write down and conversion tool. For the reporting of liabilities, a key aspect which needs to be assessed is the level of detail to request from insurance or reinsurance undertakings.

Policy option B.1: No reporting on granular liabilities but only information request on the aggregate level

This option requests information about aggregate liabilities, but not on granular liabilities level. In the aggregate liabilities templates already essential parts are covered, such as intragroup financial interconnections and major liabilities counterparties, albeit not at the same level of granularity. Furthermore, this approach allows resolution authorities and insurance and reinsurance undertakings to get used to resolution reporting, and to have a wider view of information needed before assessing if further steps are needed.

Policy option B.2: Reporting on granular liabilities supplementing the information requested on an aggregate level

This option introduces several tabs about granular liabilities to the resolution reporting, next to the aggregate liabilities tabs. The level of reporting in the granular liability templates is on the contract level of liabilities. The scope of the granular liabilities might be partly available within the regular supervisory reporting and other reporting lines.

The introduction of the granular reporting enables the resolution authorities to analyse the financial interconnections for the purpose of informing the decision of the resolution strategy as well details about the mandatory exclusions from the write-down or conversion, which may differ between jurisdictions.

IMPACT OF THE POLICY OPTIONS

POLICY ISSUE B: REPORTING ON GRANULAR LIABILITIES

Policy option B.1: No reporting on granular liabilities		
Costs	Policyholders	No impact
	Industry	Possible requirements of additional data requests
	Resolution authorities	Less detailed information is available about the financial interconnections for determining the resolutions strategy and the mandatory exclusions from the write-down or conversion.
	Other	No impact
Benefits	Policyholders	No impact

	Industry	Less burdensome
	Resolution authorities	Less burdensome
	Other	Ensuring a gradual approach to resolution reporting, as resolutions authorities and insurers and reinsurers gain experience.

Policy option B.2: Reporting on granular liabilities		
Costs	Policyholders	No impact
	Industry	Burdensome on insurance and reinsurance undertakings that have to report.
	Resolution authorities	Possible overload of information about short-term liabilities.
	Other	Less focus on the gradual approach of resolution reporting, such as first determining the critical functions, and later on requesting more detailed information.
Benefits	Policyholders	No impact
	Industry	Less reporting burden
	Resolution authorities	Detailed information available about the financial interconnections for determining the resolutions strategy and the mandatory exclusions from the write-down or conversion.
	Other	No impact

COMPARISON OF POLICY OPTIONS

POLICY ISSUE B: REPORTING ON GRANULAR LIABILITIES

EFFECTIVENESS			
	Level playing field through common minimum harmonization rules	Effective and efficient policyholder protection with flexibility for the resolution authorities and potential for the consideration of national specificities	Limiting the burden for (re)insurance undertakings
Policy option B.1	+	++	++

Policy option B.2	+	+	0
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EFFICIENCY			
	Level playing field through common minimum harmonization rules	Effective and efficient policyholder protection with flexibility for the resolution authorities and potential for the consideration of national specificities	Limiting the burden for (re)insurance undertakings
Policy option B.1	+	++	++
Policy option B.2	+	+	0

PREFERRED OPTION

The impact assessment considers the options reporting on granular liabilities.

The option of granular reporting of liabilities may lead to higher harmonization, however, this option puts a high burden on the sector. Although this information would provide detailed information about the financial interconnections, it might not be proportionate. It is important to ensure a gradual approach to the data request asking for more data as resolutions authorities and insurers and reinsurers undertakings gain experience.

Based on these considerations, it was decided to favor no reporting on granular liabilities.

ANNEX II: TEMPLATES AND INSTRUCTIONS

This annex is included as two separate documents:

- Ref. EIOPA-BOS-25/294;
- Ref. EIOPA-BOS-25/295.

OVERVIEW OF QUESTIONS FOR CONSULTATION

The questions are set out in an EU-Survey ([link](#)).

Privacy statement related to Public (online) Consultations

► Introduction

1. The European Insurance and Occupational Pension authority (EIOPA) is committed to protecting individuals' personal data in accordance with Regulation (EU) 2018/1725⁴ (further referred as "the Regulation").
2. In line with article 15 and 16 of the Regulation, this privacy statement provides information to the data subjects relating to the processing of their personal data carried out by EIOPA.

► Purpose of the processing of personal data

3. Personal data is collected and processed to manage online public consultations EIOPA launches, and to conduct online surveys, including via online platform EUSurvey⁵, and to facilitate further communication with participating stakeholders (e.g., when clarifications are needed on the information supplied or for the purposes of follow-up discussions that the participating stakeholders may agree to in the context of the consultations or surveys).
4. The data will not be used for any purposes other than the performance of the activities specified above. Otherwise you will be informed accordingly.

► Legal basis of the processing of personal data and/or contractual or other obligation imposing it

5. The legal basis for this processing operation are the following :
 - Regulation (EU) 1094/2010, and notably Articles 8, 10, 15, 16, 16a and 29 thereof
 - EIOPA's Public Statement on Public Consultations
 - EIOPA's Handbook on Public Consultations
6. In addition, in accordance with Article 5(1)(a) of the Regulation, processing is lawful as it is necessary for the performance of a task carried out in the public interest.

⁴ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC, OJ L 295, 21.11.2018, p. 39–98.

⁵ For more information on the processing of personal data in EUSurvey, please see the [dedicated privacy statement](#).

► **Controller of the personal data processing**

7. The controller responsible for processing the data is EIOPA's Executive Director.

8. Address and email address of the controller:

Westhafen Tower, Westhafenplatz 1

60327 Frankfurt am Main

Germany

fausto.parente@eiopa.europa.eu

► **Contact detail of EIOPA's Data Protection Officer (DPO)**

9. Westhafenplatz 1, 60327 Frankfurt am Main, Germany

dpo@eiopa.europa.eu

► **Types of personal data collected**

10. The following personal data might be processed:

- Contact details (name, email address, phone number).
- Employment details (company and job title).

► **Recipients/processors of the personal data collected**

11. Data will be collected and disclosed to the relevant staff members part of the Department/Unit in charge of the consultation/surveys and also to other EIOPA's staff on a need-to-know basis (e.g IT staff, security officer).

► **Retention period**

12. Personal data collected are kept by until the finalisation of the project the public consultation or the survey relate to.

13. The personal data collected in EUSurvey are deleted from EUSurvey as soon as the period to provide answers elapsed.

► **Transfer of personal data to a third country or international organisations**

14. No personal data will be transferred to a third country or international organisation. The service provider is located in the European Union.

► **Automated decision-making**

15. No automated decision-making including profiling is performed in the context of this processing operation.

► **What are the rights of the data subject?**

16. Data subjects have the right to access their personal data, receive a copy of them in a structured and machine-readable format or have them directly transmitted to another controller, as well as request their rectification or update in case they are not accurate. Data subjects also have the right to request the erasure of their personal data, as well as object to or obtain the restriction of their processing.
17. Where processing is based solely on the consent, data subjects have the right to withdraw their consent to the processing of their personal data at any time.
18. Restrictions of certain rights of the data subject may apply, in accordance with Article 25 of Regulation (EU) 2018/1725.
19. For the protection of the data subjects' privacy and security, every reasonable step shall be taken to ensure that their identity is verified before granting access, or rectification, or deletion.
20. Should the data subjects wish to exercise any of the rights provided in paragraphs 16 and 17 above, please contact EIOPA's DPO (dpo@eiopa.europa.eu).

► **Who to contact if the data subjects have any questions or complaints regarding data protection?**

21. Any questions or complaints concerning the processing of the personal data can be addressed to EIOPA's Data Controller (fausto.parente@eiopa.europa.eu) or EIOPA's DPO (dpo@eiopa.europa.eu).
22. Alternatively, the data subjects can have recourse to the **European Data Protection Supervisor** (www.edps.europa.eu) at any time, **as provided in Article 63 of the Regulation**.